

Chemical Footprint Project Survey 2019 Questions

Updated 11/12/2018

Pre-Questions (0 points)

Pre-question 1

What size is your company?

- a. If your company is publicly traded, provide FY 2017 revenue: _____
- b. If your company is privately held, check one of the following:
 - i. FY 2017 revenue greater than \$50 billion
 - ii. FY 2017 revenue greater than \$5 billion and less than or equal to \$50 billion
 - iii. FY 2017 revenue greater than \$0.5 billion and less than or equal to \$5 billion
 - iv. FY 2017 revenue less than or equal to \$0.5 billion

Pre-question 2

Indicate the scope of your product portfolio for which you are reporting.

Choose one:

- a. All product lines
- b. Select product lines or divisions.
 - i. Indicate which product lines or divisions: _____
 - ii. What value of product portfolio by sales does this scope represent?
 - i. FY 2016 revenue greater than \$50 billion
 - ii. FY 2016 revenue greater than \$5 billion and less than or equal to \$50 billion
 - iii. FY 2016 revenue greater than \$0.5 billion and less than or equal to \$5 billion
 - iv. FY 2016 revenue less than or equal to \$0.5 billion

Pre-question 3

Within the scope for which you are reporting, what type(s) of products does your company produce or sell?

Check one:

- a. Formulated products

b. Articles

c. Both formulated products and articles

Pre-question 4

Within the scope for which you are reporting, what is your six digit GICS code?

Pre-question 5

Choose one:

DO NOT list my company as a participant in the CFP Survey.

LIST my company as a participant in the CFP Survey.

Pre-question 6

Indicate your reporting year: mm/yyyy – mm/yyyy

Management Strategy (M) (20 points)

M1. Does your company have a chemicals policy that aims to avoid chemicals of high concern (CoHCs) and includes a stated preference for the use of safer alternatives? (8 points)

Response Options

Check all that apply.

a. Our company has a policy that addresses the following:

| Scope | Reduce CoHCs | Preference for Safer Alternatives | Web Links to Publicly Available Policies |
|---------------|--------------|-----------------------------------|--|
| Products | | | |
| Manufacturing | | | |
| Facilities | | | |
| Supply chain | | | |
| Packaging | | | |

b. _____ Our company does not have manufacturing operations.

c. _____ Our company has no established policy at this time.

M2. Is reducing the use of CoHCs and advancing safer alternatives beyond regulatory requirements integrated into your company's business strategy? (4 points)

Response options:

Choose all that apply.

- a. We screen for CoHCs in product design and/or procurement.
- b. We have a list of safer chemicals or safer materials that are preferred in product design and/or procurement.
- c. We avoid the use of CoHCs in our manufacturing processes.
- d. We do not manufacture products.
- e. Our company highlights the use of safer chemicals and materials in its product marketing.
- f. None of the above.

M3. How does your company advocate externally for the use of safer chemicals? (4 points)

Response Options

Check all that apply. Our company engages in external initiatives that clearly promote the:

- a. prioritization of chemicals for reduction based on their inherent hazards

- b. reduction in the use of CoHCs
- c. development and adoption of safer alternatives, including green chemistry solutions
- d. public disclosure of CoHCs or other chemical ingredients in products
- e. none of the above

M4. What means of accountability does your company have in place to ensure implementation of your chemicals policy? In documentation, include the title and description of responsibilities for the highest ranking person in the company responsible for chemicals management. (4 points)

Response Options

Check all that apply. Our company:

- a. delineates chemicals management responsibilities in job descriptions and individual annual performance metrics
- b. assigns member(s) of senior management responsibility for meeting chemical policy goals and objectives
- c. has financial incentives for senior management to meet corporate sustainability goals. These goals include reducing the use of some or all CoHCs.
- d. has Board level engagement in the implementation of our chemicals policy
- e. none of the above

Chemical Inventory (I) (30 points)

I1. What is the scope of chemicals of concern you restrict in your products and manufacturing processes? (5 points)

Choose one of response options “a – d,” if applicable, option “e,” and/or option “f,” or choose “g.” Our company:

- a. Uses our RSL(s) to manage legal compliance within each market where it operates. Our RSL(s) include(s) only chemicals that are legally restricted in each market.
- b. Uses a single RSL that reflects the strictest regulation in all of the countries or markets in which the brand operates and sells products (e.g., regulations that apply to manufacturing, marketing, and sales locations).
- c. Uses a single RSL that reflects the strictest regulation in all locations worldwide.
- d. Uses a single RSL that includes voluntary limits or bans of chemicals beyond what is covered in the most restrictive global regulations, or it includes chemicals that may not be subject to regulation but which the registrant has voluntarily chosen to limit or ban from its products.
- e. Our company has developed an MRSL
- f. Uses a list of chemicals identified for reduction beyond regulatory requirements. Our company may or may not *require* suppliers to eliminate these chemicals in products, parts, or components.
- g. None of the above

I2. What actions does your company take to ensure that its requirements (as selected in I1) are current and implemented effectively? (5 points)

Choose all that apply. Our company:

- a. delineates compliance requirements in contracts with suppliers
- b. trains suppliers about how to comply with our requirements
- c. updates our RSL/MRSL/ other requirements at minimum on an annual basis
- d. engages external stakeholders such as non-governmental organizations (NGOs), business customers, and consumers in the development of our RSL/MRSL/ other requirements
- e. publicly discloses our RSL/MRSL/other requirements
Provide web link: _____
- f. None of the above

I3. What chemical information does your company, either directly or through a respected third-party, collect from suppliers? (5 points)

In answering this question, choose one response option, “a – f”. Our company:

- a. requires suppliers to confirm that they comply with our RSL.

- b. requires suppliers to confirm that they comply with our RSL and to provide information on chemicals on our Watch List, which includes 1 – 50 chemicals.
- c. requires suppliers to confirm that they comply with our RSL and to provide information on chemicals on our Watch List, which includes more than 50 chemicals.
- d. requests that suppliers to provide full chemical ingredient information.
- e. requires suppliers to provide full chemical ingredient information.
- f. does none of the above.

14. For what percentage of products sold by your company do you collect full chemical ingredient information? (5 points)

Response Options

14a. *formulated products*: For what percentage of formulated products sold by your company is full chemical ingredient information collected? _____ percent

14b. *articles*: For what percentage of articles sold by your company is full chemical ingredient information collected? _____ percent

15. What capabilities does your company have for managing data on chemical ingredients in its products? In your documentation, please include a description of your data system. (5 points)

Response Options

Check all that apply. Our company has:

- a. an internal named point(s) of contact or outside contractor who communicates with suppliers concerning our chemical information requirements
- b. a data system (either internal or third party) to manage an inventory of chemicals in products
- c. a data system (either internal or third party) that links our inventory of chemicals in products to chemical hazard information
- d. a data system for generating reports on chemical/material ingredient declarations to customers
- e. none of the above

16. How does your company ensure conformance with your chemicals requirements?

Response Options

Check all that apply. Our company:

- a. has an audit program to verify supplier submitted data
- b. requires suppliers to test parts in third party approved labs and provide results
- c. trains suppliers on how to comply with reporting requirements
- d. routinely tests parts, components, or products to assure conformance with reporting requirements
- e. none of the above

Footprint Measurement (F) (30 points)

F1. Has your company set goals for reducing CoHCs in the products you sell and measured progress toward these goals? (4 points)

Response Options

Check all that apply in “a-d” or answer only “e” or “f.” Our company:

- a. has set goal(s) for reducing CoHCs by count or mass
- b. publicly discloses the goal(s) (at minimum includes percentage reduction and time period)
- c. publicly discloses specific CoHC(s) included in the goal(s)
- d. publicly reports annually on progress towards meeting goals, OR
- e. has no CoHCs in our products and publicly discloses this information, OR
- f. none of the above

For options “b,” “c,” “d,” and/or “e,” provide web link(s): _____

F2. How does your company measure its baseline chemical footprint for the most recent year for which you have data? (8 points)

Choose either response option “a,” options “a” and “b” or option “c” or option “d”. Our company:

- a. Had intentionally added CoHCs/SVHCs in its products = _____ CoHCs/SVHCs by count and/or
- b. Had intentionally added CoHCs in its products = _____ CoHCs/SVHCs by mass (kg), or
- c. Had no intentionally added CoHCs in its products or
- d. Is unable to answer this question at this time.

_____ Enter the count of intentionally added CoHCs/SVHCs

_____ Enter the mass (kg) of intentionally added CoHCs

Please indicate which reference list you are using:

_____ CFP CoHC List 2018

_____ EU Candidate SVHC List

F3. Over the most recent year for which you have data, how much have intentionally added CoHCs in your products changed? (8 points)

Choose either response option “a,” options “a” and “b” or option “c” or option “d”. Our company’s

- a. Difference in the number of intentionally added CoHCs/SVHCs in products: (total number of COHCs/SVHCs at beginning of reporting period minus total number of CoHCs/SVHCs at end of reporting period) _____ CoHCs/SVHCs by count and/or

- b. Difference in the mass of intentionally added CoHCs in products: (total mass of COHCs/SVHCs at beginning of reporting period minus total mass of CoHCs/SVHCs at end of reporting period)_____ CoHCs/SVHCs by mass (kg), or
- c. Unable to calculate baseline chemical footprint for beginning of reporting period. However, the number and/or mass of intentionally added CoHCs changed by the following amount over the reporting period _____
- d. Products did not contain intentionally added CoHCs for the reporting period, or
- e. Is unable to answer this question at this time

Please indicate the reference list used:

_____ CFP CoHC List

_____ EU Candidate SVHC List

F4. How does your company assess the hazards of chemicals in its products and for what percent of your products has your company assessed these hazards? (3 points)

Response Options

Check all that apply.

- a. Our company uses a system or tool (internal or third party) to evaluate chemical hazards. Identify the system or tool: _____
- b. Our company asks suppliers to provide their evaluations of chemical hazards in the products they sell to us
- c. (If “a” and/or “b” selected) Our company has assessed hazard for a percent of our products. Indicate percent: _____
- d. Our company does not currently assess the hazards of chemicals in its products beyond regulatory requirements.

F5. How does your company encourage the use of safer alternatives to CoHCs? (6 points)

Response Options

Check all that apply in “a–f” or answer only “g” or “h.” Our company:

- a. has developed a definition for a safer alternative that is consistent with the CFP definition, and we include such criteria in our business processes
- b. communicates about and asks suppliers to use our company’s criteria for a safer alternative
- c. rewards suppliers that use safer alternatives
- d. has integrated our company’s criteria for a safer alternative into our product development process (e.g., through our design and safety processes)
- e. has established a goal and is tracking progress to improve the profile of chemicals across our products, consistent with our company’s criteria for a safer alternative
- f. publicly discloses our company’s definition for a safer alternative and our approach to integrating it into our business practices. Provide web link: _____

- g. If your company's products do not contain CoHCs, please describe in documentation how it ensures that the safest chemicals available are used.
- h. none of the above

Public Disclosure and Verification (D) (20 points)

D1. What information does your company disclose about the chemical ingredients in its products? (8 points) Answer all that apply.

D1.a. Enter the percentages for all that apply. For formulated products, our company publicly discloses:

- i. Chemical identity beyond legal requirements for ____ percentage of sales at the SKU level
- ii. All intentionally added chemicals including fragrances, flavors, and preservatives in products for ____ percentage of sales at the SKU level
- iii. We do not publicly disclose information about chemical ingredients in our formulated products beyond legal requirements.

D1.b. Enter the percentages for all that apply. For articles, our company publicly discloses:

- i. Generic material content for 95% by mass of chemicals in products for ____ percentage of sales or spend at the SKU level
- ii. Chemical identity for 95% by mass of chemicals in products for ____ percentage of sales or spend (e.g., Health Product Declaration)
- iii. We do not publicly disclose information about chemicals in our articles beyond legal requirements.

Provide web link(s) to online ingredient disclosure: _____

D2. Does your company agree to publicly disclose its responses to the CFP Survey? (3 points)

Response Options

Our company agrees to disclose its responses publicly on the CFP website. Answer “a” or “b.” If you select “yes,” your multiple choice response options, in addition to any web links provided in response to Questions M1, I2, F1, F5, and D1 will be made available on the CFP website.

- a. Yes ____
- b. No ____

D3. Does your company agree to publicly disclose its score on the CFP website? (5 points)

Response Options

Our company agrees to disclose its score publicly on the CFP website. Answer “a” or “b.”

- a. Yes ____

b. No ____

D4. Have any of your company's responses to the questions in the Survey been verified by an independent third party? (4 points)

Response Options

Check only one response option. Our company's response options have been verified by an independent third party for:

- a. none to one of our response options
- b. two to four of our response options
- c. at least eight of our response options
- d. at least twelve of our response options
- e. all response options except D2, D3, and D4